Australian Government
IP Australia

AusPat

IP Australia's Search System for Australian Patents

User Guide

www.ipaustralia.gov.au/auspat

May 2011

INTRODUCTION TO USER GUIDE

I am pleased to announce AusPat's next capability enhancements being eDossier and full text searching. Together with the existing functionality these enhancements provide a comprehensive, online Australian patent search tool free of charge.

Firstly, eDossier provides customers with access to a suite of documents relating to the prosecution of patent applications dating back to 2006 and which are open to public inspection (OPI). This enhancement provides customers with the ability to access the following documentation:

- requests for examination
- examination reports
- responses to examination reports
- amendments
- search results

Previously such information needed to be purchased from IP Australia, which took up to 7 days to be supplied to customers.

Secondly, we've also released Australian patent specifications back to 1904. The initial release of the full text searching capability in December 2010 included approximately 50% of the full collection. Since that time the large majority of the remaining specifications have been added. Previously electronic specifications were only available back to 1998 and these were not text searchable.

These two enhancements mean customers will be able to access the majority of the patent records. As for the remaining documentation, IP Australia is working to fill the gap. (See the "filling the gap" information sheet on the AusPat home page that tracks our progress.)

In AusPat you can now:

- Access responses, reports, amendments and search reports via eDossier
- Use the full text searching capability to explore the contents of specifications back to 1904
- Access additional opposition, ownership and publication data
- Use eJournal – search, browse and print information from AU patent journals
- Use eRegister – search, browse and print extracts from the Register of Patents
- Search for applications through the Quick, Structured and Advanced search interfaces
- Use any of the 28 search fields
- Use the Name Selector to find varients of Applicant and Inventor names
- View, sort, customise and print the results of your search in the "Search Results" page

A feedback system has been built into AusPat so that you can easily report issues with the system or data. I would encourage you to use this feature so that we can continue to maintain AusPat.

This new version of AusPat will provide extra benefits to customers wishing to explore the wealth of information residing in the Australian patent collection.

Fatima Beattie
Deputy Director General
IP Australia

TABLE OF CONTENTS

INTRODUCTION

This user guide provides an introduction to AusPat. The "In summary" section provides a pictorial overview of the major features of each screen in the system. The remaining sections contain useful information on how you can use AusPat to search our data, and presents a number of technical considerations to keep in mind when using the system.

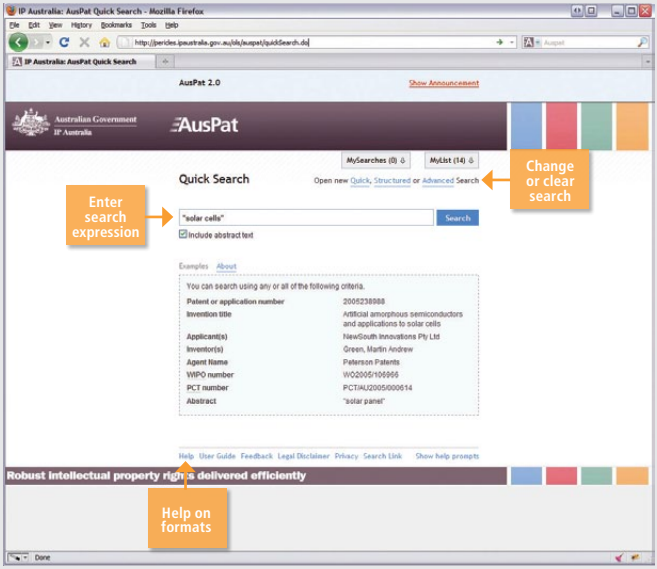
If you require any further information please contact **assist@ipaustralia.gov.au**

IN SUMMARY

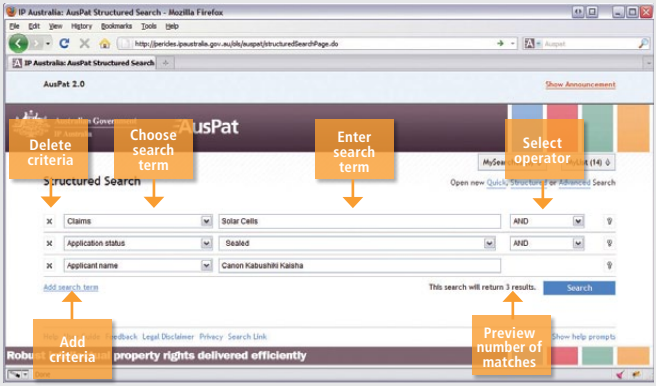
IN SUMMARY: QUICK SEARCH

Quick Search will look for:

- matches in the number
- inventor
- applicant
- agent name
- title fields; and
- when selected, the abstract text



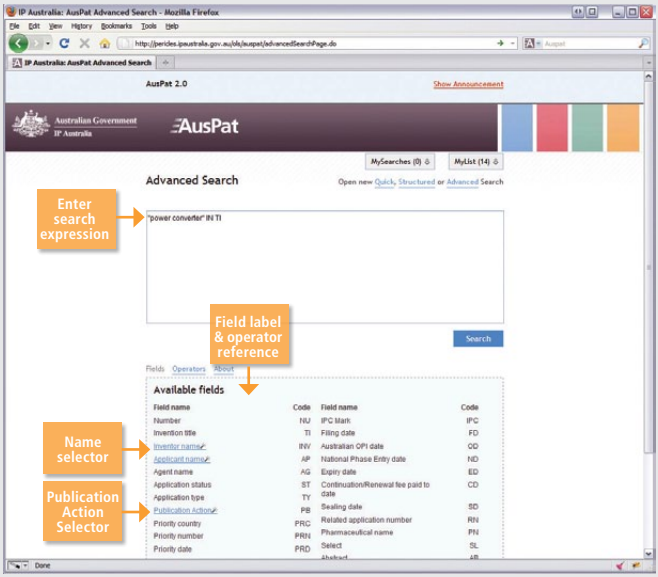
IN SUMMARY: STRUCTURED SEARCH



With Structured Search you can:

- search all 25 search fields
- add up to 25 search criteria
- search on text from a published specification in one of the components abstract, description or claims, or in the full specification

IN SUMMARY: ADVANCED SEARCH



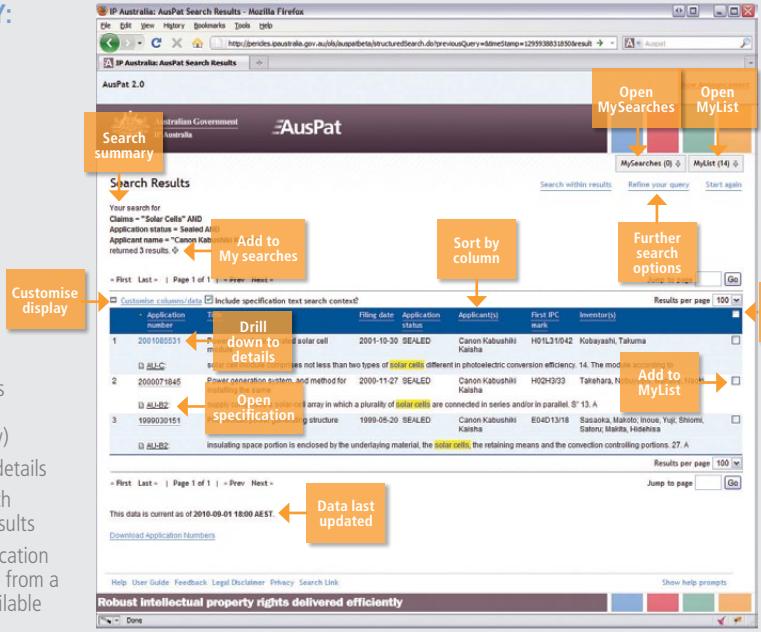
With Advanced Search you can:

- search 28 search fields
- construct complex Boolean expressions
- use the Name Selector to find variants of applicant and inventor names
- use the Publication Action selector to find applications with various types of publication actions
- search on text from a published specification in one of the components abstract, description or claims when available, or in the full specification
- search on text from a published specification in one of the published document kinds A, B or C

IN SUMMARY:
SEARCH RESULTS

In Results you can:

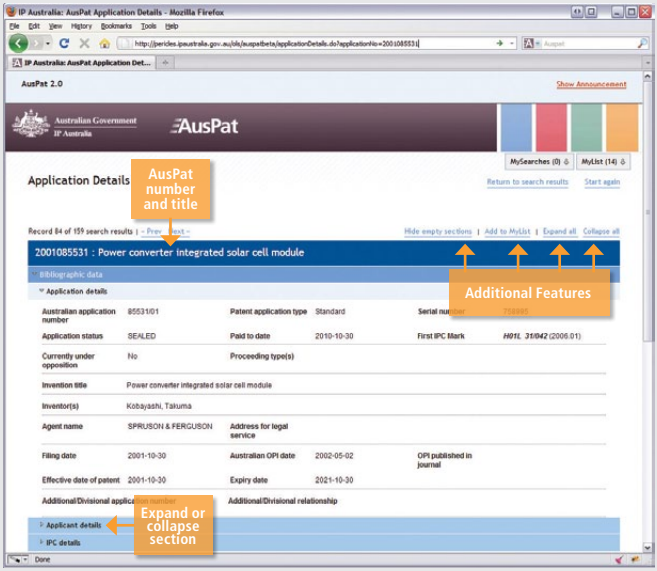
- view results
- build and maintain MyList
- add search to MySearches (structured & advanced only)
- drill down to details
- refine or search within your results
- open a specification in a new page from a link when available



IN SUMMARY:
APPLICATION DETAILS

In Details you can:

- view data
- build and maintain MyList
- print application details
- show/hide empty sections
- view specifications when available
- view an extract of the patent Register when available

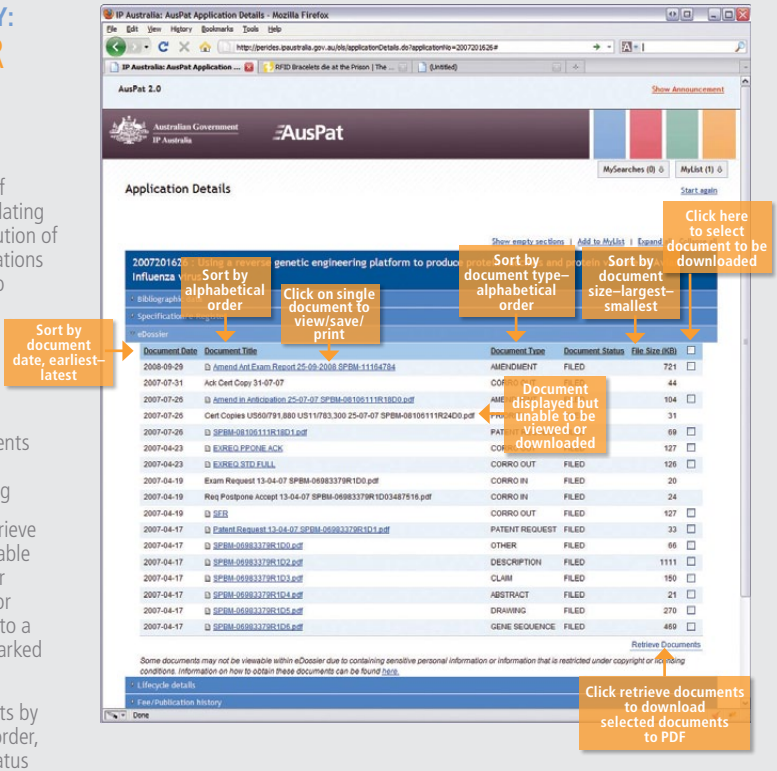


AusPat

IN SUMMARY:
eDOSSIER

In eDossier you can:

- view a suite of documents relating to the prosecution of patent applications dating back to 2006 which are open to public inspection (OPI)
- select documents for viewing/saving/printing
- select and retrieve multiple available documents for viewing and/or downloading to a single bookmarked PDF
- sort documents by alphabetical order, date, type, status and file size



SEARCHING WITH AUSPAT

SEARCHING WITH AUSPAT:

	QUICK SEARCH	STRUCTURED SEARCH	ADVANCED SEARCH
SEARCH BY NUMBER	Enter the number	Select the Number field and enter the number	Search in the Number field ***Eg:*** *2004205230 IN NU*
SEARCH BY KEYWORD IN TITLE	Enter the keywords or an "exact phrase". Your search will match applications that contain ALL of the words you enter. Unless you separate words with the OR or NOT operator. Note that your search results will include matches from the Inventor, Applicant, Agent and Title fields.	Select the Title field and enter the keywords. Note that any words you enter will be treated as an exact phrase. ***Tip:*** *you can search for multiple words by clicking "Add search term" and then selecting AND, OR or NOT to combine the terms.*	Search in the Title field ***Eg:*** *rabbit IN TI AND fence IN TI*
SEARCH BY NAME	Enter the words from inventor or applicant name. Note that your search results will include matches from the Inventor, Applicant, Agent and Title fields.	Select the Inventor or Applicant field and enter one or more parts of the name. Note that any words you enter will be treated as an exact phrase. ***Tip:*** *You can use wildcards and search for multiple words by clicking "Add search term" and then selecting AND, OR or NOT to combine the terms.*	Search in the Inventor or Applicant fields ***Eg:*** *peters?n IN INV* Alternatively you can use the Name Selector to select and search for multiple names.
SEARCH BY CLASSIFICATION	It is not possible to search by classification in Quick Search.	Select the IPC Mark field and enter the classification code. ***Tip:*** *Enter the IPC mark without any spaces. Use the * wildcard to truncate at any point*	Search in the IPC Mark field ***Eg:*** *h01b1/* IN IPC*

SEARCHING WITH AUSPAT (CONT.):

SEARCH BY ABSTRACT KEYWORDS	Check the "Include abstract text." checkbox and enter keywords.	Select the Abstract field and enter the keywords. Note that any words you enter will be treated as an exact phrase. *Tip: you can search for multiple words by clicking "Add search term" and then selecting AND, OR or NOT to combine the terms*	Search in the Abstract field. Eg: rabbit IN AB AND fence IN AB
SEARCH BY CLAIMS KEYWORDS	It is not possible to search by claims keywords in Quick Search.	Select the Claims field and enter the keywords. Note that any words you enter will be treated as an exact phrase. *Tip: you can search for multiple words by clicking "Add search term" and then selecting AND, OR or NOT to combine the terms*	Search in the Claims field. Eg: rabbit IN CS AND fence IN CS
SEARCH BY DESCRIPTION KEYWORDS	It is not possible to search by description keywords in Quick Search.	Select the Description field and enter the keywords. Note that any words you enter will be treated as an exact phrase. *Tip: you can search for multiple words by clicking "Add search term" and then selecting AND, OR or NOT to combine the terms*	Search in the Description field. Eg: rabbit IN DS AND fence IN DS
SEARCH FOR KEYWORDS IN A FULL SPECIFICATION	It is not possible to search by full specification keywords in Quick Search.	Select the "Full specification" field and enter the keywords. Note that any words you enter will be treated as an exact phrase. *Tip: you can search for multiple words by clicking "Add search term" and then selecting AND, OR or NOT to combine the terms*	Search in the "Full Specification" field. Eg: rabbit IN FS AND fence IN FS
SEARCH FOR KEYWORDS IN A PUBLISHED DOCUMENT KIND	It is not possible to search for keywords in a published document kind in Quick Search.	It is not possible to search for keywords in a published document kind in Structured Search.	Search in selected "Document Kind" fields. Eg: rabbit IN DKA AND fence IN DKA *Tip: you can choose to search for text in published document kinds A, B or C by selecting the corresponding field – DKA, DKB, DKC*

WHICH SEARCH SHOULD I USE?

IF YOU NEED TO...	USE...
LOOK UP INFORMATION ON AN APPLICATION OR PATENT	Quick Search – simply enter the application or patent number.
LOOK UP AUSTRALIAN FILING INFORMATION FOR A PCT APPLICATION	Quick Search – simply enter the PCT or WO number.
FIND ALL AUSTRALIAN PATENTS OR APPLICATIONS IN A PARTICULAR TECHNOLOGY AREA	Structured Search – select the IPC Mark field and enter the relevant IPC mark. OR Advanced Search – choose appropriate keywords to restrict the search to the technology area you are interested in, and search in specification text. Eg: *(car IN FS OR vehicle IN FS OR truck IN FS OR automobile IN FS OR bicycle IN FS OR bike IN FS OR motor* IN FS) AND (wheel IN AB OR tyre IN AB)*
CONSTRAIN A SEARCH BY A NUMBER OF CRITERIA	Structured Search – AND additional fields with your search
FIND APPLICATIONS FROM A PARTICULAR APPLICANT OR INVENTOR	Advanced Search – use the Name Selector ***Tip:*** *consider the variants of name of the organisation you are seeking. For example the CSIRO may be rendered differently depending on what was typed on the patent application form Eg:* • CSIRO • C.S.I.R.O. • Commonwealth Scientific Industrial Research Organisation • Commonwealth Scientific and Industrial Research Organisation • Commonwealth Scientific and Industrial Research Organization • Commonwealth Scientific & Industrial Research Organisation • Comm. Scientific and Industrial Research Org • CSIRO Australia
CONSTRUCT A COMPLEX SEARCH WITH NUMEROUS CONDITIONS	Advanced Search – use parentheses to combine multiple criteria Eg: *(((car OR vehicle OR truck OR automobile OR bicycle OR bike OR motor*) AND (wheel OR tyre)) AND (sealed IN ST)) NOT (goodyear IN AP)*

REFINING YOUR SEARCH:

Once you've conducted a search in AusPat you can start a new search, refine your search query or search within the current set of results by clicking either the "Search within results", the "Refine your query" or the "Start again" links located in the top right hand corner of the Search Results page.

START AGAIN	Click the Start again link to open a blank search screen. The blank screen will be the same type as the search you just conducted ***Eg:*** if you just completed a Structured Search, a blank Structured Search screen will be opened.
REFINE YOUR QUERY	Clicking the Refine your query link will take you back to the search you just submitted and allow you to make changes before submitting the search again.
SEARCH WITHIN RESULTS	Clicking the Search within results link allows you to conduct another search – within the results of your current search and not in the entire patents database. Effectively this will AND the new search with the current search. ***Note:*** *Your search within must begin with an expression (**Eg:** apple IN AP) and not an operator (**Eg:** NOT acer IN AP). If you want to begin with an operator, consider using "Refine your query" instead.*

SEARCHING FOR KEYWORDS:

KEYWORDS ARE TREATED DIFFERENTLY IN THE DIFFERENT SEARCH MODES OF AUSPAT		
QUICK SEARCH	By default, ALL of the words and numbers you enter must be present in one or more of the Quick Search fields. If you separate the words with OR, Quick Search will match ANY of the words or numbers you enter. You can also search for an exact phrase if you "enclose the phrase in quotes".	
STRUCTURED SEARCH	Any words entered into a text field (Eg: Title, Inventor, Agent, Abstract, Claims) will be treated as an exact phrase. For example, if you enter solar cells in the Invention Title field you will be returned a set of results that include the exact phrase "solar cells". Operators are not available within fields in Structured Search. *Eg: entering solar AND cells in the Title field will find all applications that contain the exact phrase "solar and cells".*	
ADVANCED SEARCH	By default, ALL of the words and numbers you enter must be present in one or more of the Advanced Search fields. *Examples*	
	"solar cells" IN TI	This will search for all occurrences of the exact phrase "solar cells" in the title field.
	john IN INV AND smith IN INV	This will return all records where both john AND smith appear in the inventor field.
	pizz* IN AG	This will return all records from the Agent Name field that contain a word beginning with the characters "pizz"
	john smith IN INV	This will return all records where john appears in any search field AND smith appears in the inventor field.

SEARCHING WITH DATES:

Dates are entered as YYYY-MM-DD where

- YYYY is a four digit year.
- MM represents the month of the year (01 = January, 02 = February ... 12 = December)
- DD represents the day of the month.

In Advanced Search, dates are searched using the TO operator Eg: fromdate TO todate IN DATEFIELD.
This will return all records from and including fromdate up to and including todate

THE DATE FIELD ON THE LEFT IS THE FROM DATE. DATE TRUNCATION IS AVAILABLE IN THIS FIELD ACCORDING TO THE FOLLOWING METHOD.	
YYYY-MM-DD	Will search for all records from this exact date.
YYYY-MM	Will search for all records from the 1st of the month MM. Eg: 2004–10 equates to 1 October 2004.
YYYY	Will search for all records from the first day of the year YYYY. Eg: 2004 equates to 1 January 2004.

THE DATE FIELD ON THE RIGHT IS THE TO DATE. DATE TRUNCATION IS AVAILABLE IN THIS FIELD ACCORDING TO THE FOLLOWING METHOD.	
YYYY-MM-DD	Will search for all records to this exact date.
YYYY-MM	Will search for all records to the last day of the month MM. Eg: 2004 –10 equates to 31 October 2004.
YYYY	Will search for all records to the last day of the year YYYY. Eg: 2004 equates to 31 December 2004.

SEARCHING FOR NAMES:

INDIVIDUAL NAMES

Individual names are formatted as:

familyname, givenname

To search for applications where Mike Gamble is recorded as an inventor, you could take the following approaches

QUICK SEARCH	STRUCTURED SEARCH	ADVANCED SEARCH
Enter mike gamble *Note: You may receive unrelated results if you use Quick Search.*	Enter gamble, mike into the Inventor Name field.	Search for "gamble, mike" IN INV

Alternatively you could use the Name Selector in Advanced Search. Enter:

gamble

into the Inventor's Name Selector and then scroll through the list until you find

gamble, mike

Click on the name and then click the "Add selection to your search" button. Execute the search to find Mike's applications.

COMPANY NAMES

Names of Organisations are formatted the same way they appeared on the application supplied to IP Australia.

To search for applications where "Morningside Holdings Pty. Ltd." is recorded as an applicant, try:

QUICK SEARCH	STRUCTURED SEARCH	ADVANCED SEARCH
Enter morningside holdings *Note: You may receive unrelated results if you use Quick Search.*	Enter morningside holdings into the Applicant Name field.	Search for "morningside holdings" IN AP

Alternatively you could use the Name Selector in Advanced Search. Enter:

morning

Into the Applicant's Name Selector and then scroll through the list until you find

Morningside Holdings Pty. Ltd.

Note that there are two versions of the name "Morningside Holdings Pty. Ltd." and "Morningside Holdings Pty Ltd"

Click on both names and then click the "Add selection to your search" button. Execute the search to find applications from Morningside Holdings Pty. Ltd.

Note: If you are using the Name Selector to find an organisation beginning with the word "The" you should ignore the "The"

To find

The American National Red Cross

Using the Name Selector you should type

american national re

And then select American National Red Cross in the list when it appears.

AGENT NAMES

Names of Agents are formatted the same way they appear in the application

Pizzeys Patent and Trade Mark Attorneys

To search for applications where Pizzeys Patent and Trade Mark Attorneys is recorded as an agent, you could take the following approaches

QUICK SEARCH	STRUCTURED SEARCH	ADVANCED SEARCH
Enter pizzey*	Enter pizzey* into the Agent Name field.	Search for "pizzey*" IN AG

USING THE SELECTORS:

ABOUT

The Selectors are tools that help you locate name variations and Publication actions. You can access the selectors by clicking on the "Inventor name", "Applicant name" or "Publication Action" link on the Advanced Search page.

Imagine you are looking for applications filed by the inventor "Robert Carl Andrews"

Typing

andrews, r

into the Name Selector yields the following results of interest

Andrews, Robert

Andrews, Robert C

Andrews, Robert C.

Andrews, Robert Carl

Each of these names might be the inventor you're after. Select each of them in the Name Selector and click the "Add selection to your search" button. This will yield results where any of the four names are cited as inventor.

Note: The Name Selector returns a maximum of 150 results at a time. If you don't find the name you are looking for you will need to add more characters to your search.

FINDING INVENTORS AND INDIVIDUAL APPLICANTS

Names are stored and therefore searched as

familyname, givenname

If you qualify the name with a givenname, you must include the comma

If the inventor has more than one givenname they follow on from the initial givenname.

FINDING APPLICANTS THAT ARE ORGANISATIONS

Names of Organisations are formatted the same way they appear in the application

The Arizona Board of Regents

Typing

arizon

into the Name Selector yields the following results of interest

Arizona Board of Regents

Arizona Board of Regents on behalf of The University of Arizona

Arizona Board of Regents, on behalf of, The University of Arizona

etc

Note: Do not use the word "The" at the beginning of an organisation. Name Selector searches a list of applicants that has all instances of a leading "The" moved to the end of the name.

USING WILDCARDS:

	WHAT IT WILL DO	WHAT IT WON'T DO
?	Match exactly one character Eg: peters?n in INV will find matches for Peterson and Petersen	Match zero characters Eg: method? IN TI will find matches for methode and methods but not method instead, use the search method* IN TI
		Match spaces Eg: power?plant IN TI will find matches for power-plant but not power plant instead, use the search power?plant IN TI OR "power plant" IN TI
*	Match zero or more characters Eg: carbon* in TI Will find matches for carbon, carbonate, carbon-silicon, carbonaceous, carbonated, carbonyl, carbons etc	Match spaces Eg: wiper*assembly IN TI won't return "wiper assembly"

JOURNAL NUMBER FORMATS:

Journal numbers are entered as VV/II where

VV is the two digit volume number.

II is the two digit issue number.

Note: *You will get an error if you enter a journal number that is not correctly formatted. The field on the left represents the FROM journal number and the right represents the TO journal number. Volume/issue truncation is available in this field according to the following method.*

THE JOURNAL RANGE FIELD ON THE LEFT IS THE FROM JOURNAL RANGE. JOURNAL RANGE TRUNCATION IS AVAILABLE IN THIS FIELD ACCORDING TO THE FOLLOWING METHOD.

FORMAT	MEANING
VV/II	Will search for all records from this exact journal number
VV	Will search for all records from the 1st issue of the journal in volume VV. Eg: 23 equates to 23/01.
[BLANK]	Will search for all publication actions from the first available journal (16/42). Your search will return all matched publication actions if both the FROM and TO fields are blank.

THE JOURNAL RANGE FIELD ON THE RIGHT IS THE TO JOURNAL RANGE. JOURNAL RANGE TRUNCATION IS AVAILABLE IN THIS FIELD ACCORDING TO THE FOLLOWING METHOD.

FORMAT	MEANING
VV/II	Will search for all records to this exact journal number
VV	Will search for all records to the last issue of the journal in volume VV. Eg: 22 equates to 22/50.
[BLANK]	Will search for all publication actions to the current journal. Your search will return all matched publication actions if both the FROM and TO fields are blank.

MYSEARCHES:

MYSEARCHES FUNCTIONALITY WILL ENABLE USERS TO ADD SEARCHES CONDUCTED FROM STRUCTURED SEARCH AND ADVANCED SEARCH TO A MYSEARCHES LIST.	
ADD SEARCH	Users can add a search by using the + sign next to the search query on the search results page.
REMOVING SEARCHES	Individual searches can be removed by clicking the X symbol next to the search or clear the entire list by clicking on "Clear list".
EXECUTE INDIVIDUAL SEARCHES	Individual searches stored on MySearches can be run by clicking on the name of the search. Searches will be run in Advanced Search query format.
DISPLAY A QUERY STORED IN MYSEARCHES	You can display a search query stored in MySearches by clicking on the magnifying glass symbol next to the checkbox. This will also show the number of characters in the stored query.
COMBINING SEARCHES	Searches can be combined using the checkboxes within MySearches and clicking "Combine". Searches will be combined using the OR operator as a default. Combined searches are copied to the Advanced Search input box where they can be edited.
SAVING SEARCHES	Users can save searches in MySearches by clicking on "Save list". The file will be saved in TXT format and the user can rename the file and the location where it will be saved.
LOADING SEARCHES	Users can load a saved list into MySearches by clicking on "Load list".

MYLIST REPORTING:

MYLIST REPORTING WILL ALLOW USERS TO REPORT PATENT APPLICATIONS AS CONTAINED IN MYLIST.	
FORMAT	CSV and PDF.
DATA ELEMENTS INCLUDED	Application number, Title, Applicant(s), Inventor(s), Filing date, Application status, Serial number, PCT number, WIPO number, Earliest priority date, First IPC mark and Agent name.

HINTS AND TIPS

NAMES

- In the Name Selector, "The" preceding a company name is removed from the beginning and replaced at the end, so that "The Patenting Company Pty Ltd" becomes "Patenting Company Pty Ltd, The". To find this name in the Name Selector start typing from "Patenting", ignoring the word "The".
- Punctuation of the original name is retained in the Name Selector, even though the punctuation may be insignificant characters when searching. E.g C.S.I.R.O. is listed in the Name Selector separately from CSIRO even though these may be the same organization and return the same search results.
- Note that different variations of the same company name will need to be searched separately, and will appear as different names in the Name Selector. For example, CSIRO in the applicant name will require a different search to "Commonwealth Scientific and Research Organization" which may be different again to "Commonwealth Scientific & Research Organisation".
- The Name Selector will only match the start of a name. It won't match a string of characters in the middle of a name.
- Searching for Applicant Names will return matches from both the Applicant Name and Old Name(s).
- Name formats for real people have been standardised as: FamilyName, GivenName OtherNames.

NUMBERS

- A search of the Related Application Number field will find any associated additional/divisional parent/child applications. You can enter the application number, serial number, or PCT number into the Related Application Number search field.
- Priority number searches will match both the original priority number supplied to IP Australia including any spaces or special characters (commas, hyphens, decimal points etc) and a standardised version that has spaces and special characters removed (leaving just alpha-numeric characters).
- Mainframe applications numbers (formerly found in PATADMIN) can be found by their original application number and as a transformed 10 digit AusPat number.

The AusPat number is a standardised 10 digit version of the mainframe application number used for identifying all applications in AusPat. As the first four digits represent the application year, all PAMS and mainframe applications from the same year will be together in a search results list. Eg: All 2002 applications will be listed before 2001 applications.

Standard and petty patent applications with an application number like nnnnn/yy, nnnn/yy, nnn/yy, nn/yy, or n/yy become CCyy0nnnnn, CCyy00nnnn, CCyy000nnn, CCyy0000nn and CCyy00000n respectively, where yy is the application year and CC is the corresponding century (20 for years 00, 01 and 02, 19 for all others).

- Provisional application numbers like PMnnnn have an AusPat number formatted as CCYYPMnnnn, where CCYY is the century and year recorded as the provisional application filing date.
- PAMS applications using a 10 digit application number keep this number as their AusPat number.
- PCT numbers may be found using either PCT/CCyy/nnnnn or PCT/CCyyyy/nnnnnn formats. PCT numbers have been standardised for display as PCT/CCyyyy/nnnnnn. Similarly, WIPO publication numbers may be found as either WOyy/nnnnn or WOyyyy/nnnnnn and have been standardised for display as WOyyyy/nnnnnn.

IPC MARKS

- Do not include spaces when searching for IPC marks (eg: B23K11/093).

  Truncated IPC marks may be used in conjunction with wildcards when searching by replacing the rest of the IPC mark with a wildcard on the right. Eg: to find all applications within a particular IPC main group, enter the main group of the IPC mark, followed by a slash '/' and the * wildcard: B23K1/*.

  Omitting the slash character could give misleading results. For example, a search for B23K1* would return everything with main group B23K1/-, as well as everything in main groups B23K10/-, B23K11/-, B23K13/-, B23K15/- and B23K17/-, as well as everything with indexing codes B23K101/- or B23K103/-.

PROVISIONAL APPLICATIONS

- Australian provisional applications can form priority to a complete application through being an associated provisional, or sometimes as a convention priority. A provisional can appear as a convention priority when a provisional forms a priority for a PCT application, and the PCT designates Australia. Where it forms a convention priority, AusPat will not show the corresponding complete application on the Provisional's application details page. Using the provisional number in the priority number search field (and AU as the priority country to further narrow the search results, if needed) will return all applications in AusPat using that Provisional as an associated complete, or as a convention priority.

  PCT applications filed after 2004 will not be in AusPat unless they have entered the national phase. These applications can be found in the WIPO search system by using the provisional number in the priority number search field, and AU as the priority country, once the PCT has been published by WIPO.

TEMPLATES FOR DIFFERENT APPLICATION TYPES

- Different application types have different fields available for display. There are five different templates used to display data elements for different kinds of patent applications in AusPat such as:
  - Standard Complete
  - Innovation
  - Petty
  - Provisional
  - Most applications filed before 1979

KEYWORD SEARCHING

- Stopwords are not indexed. However, where a stopword appears between two words, the relative order of the words is preserved. As a consequence, a search for the phrase "retracting the wheels" will return different results to the phrase "retracting wheels" with the stopword 'the' omitted. A search for "retracting a wheels" will also return "retracting the wheels" as both 'a' and 'the' are stopwords, and the relative position of the words 'retracting' and 'wheels' is the same.
- The wildcard '?' substitutes for exactly one character, unlike some other search systems where it can substitute for zero or one character. The asterisk '*' substitutes for zero to many characters.
- The percentage character (%) has been blocked from use in search terms for security purposes.
- Search terms should be in lower case. This means that priority country codes should be in lower case, even though they would normally be written in upper case. Using upper case may give incorrect results. For example, IN (the country code for India) is an AusPat operator.

QUICK SEARCH

Searching anomalies

- Do not use Quick Search to search for dates or IPC marks. While some results may be returned for these searches, they will not accurately reflect IP Australia's data holdings. For example, entering a search term that resembles an IPC mark will only return applications where the IPC is listed as the "primary" or "first" IPC mark.
- Quick Search should only be used to search for Patent or application numbers, keywords from the Invention title, and Applicant, Inventor or Agent Name.
- Users are advised to use Structured Search or Advanced Search to search for IPC marks and dates.

Application status and type are searchable

- You may receive additional unexpected results if your search includes any of the following words: accepted, certified, filed, sealed, ceased, expired, refused, revoked, withdrawn, lapsed, converted, standard, innovation, provisional, petty.
- Consider using Structured Search or Advanced Search for greater precision.

STRUCTURED AND ADVANCED SEARCH

Introduction of MySearches

- Searches executed using Structured and Advanced Search can now be stored in MySearches. The search query is stored in advanced search form when it is saved in MySearches. The list of searches can be saved as a text file for re-use.
- Searches stored in MySearches can be combined. The combination is copied to the Advanced Search input box. The query can be edited or executed as an advanced search.

DATES

- "Date of Patent" and "Expiry Date" only apply to granted patents. In a patent application they show the dates expected should the application proceed to grant, based on the currently available data. During the prosecution of a patent application these dates may change.
- "Paid to Date" shows the date up to which continuation/renewal fees have been paid for an application or patent. It does not necessarily mean that the application/patent is still active if the paid to date is a future date. Where this date is a future date, the application/patent status may indicate an inactive status if the application/patent has already ceased, lapsed etc. The application /patent status should be considered to be active for up to six months after the "Paid to Date" has passed, as the relevant fee can be paid up to six months late.
- "Next Fee Due" is the anniversary number of the next continuation/renewal fee required to maintain the patent/application. This value also indicates the number of years for which continuation/renewal fees have been paid and is calculated as the number of years between the "Date of Patent" and the "Paid to Date". This data element is not populated for patents of addition.
- "The year" part of a patent application number indicates the year the application was processed. Generally this will be the same as the year it was filed. However, in some circumstances this may be different. For example, innovation patent 2004100092 was converted from a standard patent application in 2004, but it keeps the original filing date which is 10 September 1999. Similarly, patent applications filed towards the end of December in one year will have a filing date for that year, but may not have been processed until January of the following year.
- When searching for dates AusPat will assume a default of 1904-01-01 if no FROM date is entered and today's date if the TO date is blank. Of course, at least one FROM or TO date must be entered. Where the FROM date entered is a future date, the TO date will still default to today if you do not enter a date, giving misleading results (this would apply to an expiry date or a continuation/renewal fee paid to date search). In that case enter a TO date that is later than your FROM date.
- The "Australian OPI Date" data element is the date a patent application was available to the public in Australia. Generally, this is the date it was published (either by IP Australia or by WIPO if the PCT was filed after 1 January 2004). For PCT applications filed before 1 January 2004 this is usually the date a copy of the PCT application was received at IP Australia, after publication by WIPO.
- Only divisional parents have a date in the "Priority Date" field in "Priority Details". This is the "Earliest Priority Date" of the divisional parent application.
- A search in the "Priority date" search field searches for "Earliest Priority Date", the "filing date" of the priority application (for convention, associated provisional and divisional parent priorities) or the earliest priority date of any divisional parents shown as priority.

SPECIFICATIONS

- A link to specifications is available for applications published from 17 December 1998. While specifications are available for non-convention, non-PCT applications filed from 1975, links are not available.

DATA ELEMENTS

- The re-examination details section currently shows re-examination requests initiated by applicants or third parties – and not those initiated by IP Australia.
- Applications sourced from PATADMIN do not have data for the "Date of Patent" or "Expiry Date" data elements. These data elements have been calculated from other available information and in a small number of cases the calculated value may be incorrect.
- In particular, "Date of Patent" or "Expiry Date" may not be accurately determined in AusPat for circumstances covered by Regulation 6.3 (2), (4), (5), (6), (7)(a) and (7)(b), or for some circumstances covered by the Patents Act 1952. The date of patent is calculated as if these Regulations did not apply.

LEGISLATION

- The data contained in AusPat is from patent applications filed under the Patents Act 1990 and the Patents Act 1952. The Patents Acts and Regulations have undergone many changes in the period covered by AusPat data (since 1979) that have resulted in many changes to the way patent applications have been processed.

  Where possible, AusPat does not refer to specific sections of the Patents Act, as this may have changed many times over the period of data coverage. Instead, plain English language has been used to describe the data elements. Note that the section "Restoration Details" applied to standard patents covers data on actions that were only available in the Patents Act 1952 and is not relevant to any "restorations" that may occur under the Patents Act 1990. Restoration under the 1952 Act has similarities to some "Extensions of Time" under the 1990 Act.

TROUBLE SHOOTING

- Misalignment of data elements or missing + icons to add searches to MySearch may occur when using some browsers. While this is not a regular occurrence, these issues may be rectified by undertaking the following steps:
- Click the Refresh button in the toolbar or activate the Refresh keyboard shortcut (on Microsoft Windows computers, either F5 or Ctrl-R).
- If that doesn't work, try Ctrl-F5 (i.e. hold down the Control key and then press F5); this shortcut is documented as always refreshing the page from the Internet, regardless of what is in the cache.
- If neither method of refreshing works, you can manually delete all files in your cache. To do this, select "Internet Options" from the Internet Explorer "Tools" menu and then click the "Delete Files..."button. (Note that this last process may take some time if the cache has not been recently cleared.)

SYSTEM AVAILABILITY

- There will be a 10 minute period between 3:00am and 4:00am AEST daily when AusPat is unavailable.

SEARCHING FOR PRE 1920 DATA

- To optimise searching of pre 1920 patent data and specifications use the "structured" or "advanced" search functions.

REFERENCE

NUMBER FORMATS:

NUMBER TYPE	FORMAT
Australian application number	yyyymnnnnn
PATADMIN application number	n/yy
	nn/yy
	nnn/yy
	nnnn/yy
	nnnnn/yy
PATADMIN serial number	nnnnnn
Old provisional number	PXnnnn
New provisional number	yyyyPXnnnn
WIPO number	WOyy/nnnnn
	WOyy/nnnnnn
	WOyyyy/nnnnnn
PCT number	PCT/CCyy/nnnnn
	PCT/CCyyyy/nnnnnn

KEY	MEANING
yyyy	four digit year
yy	two digit year (no century)
n	any digit in the range [0..9]
m	single digit that represents application type (1: Innovation, 9: Provisional, any other: Standard complete)
CC	two character country code
P	the character P
X	a single character in the range [A..Z]
PCT	the characters PCT
WO	the characters WO
/	the slash character

ADVANCED SEARCH SYNTAX:

The basic syntax for the Advanced Search is

searchterm IN FIELDNAME

IN operator and SEARCHFIELD must be in uppercase.

Multiple search terms and fields can be combined using parentheses.

For example:

(solar IN TI) AND ((2004 TO 2005) IN FD)

Search terms cannot be combined like this: (solar OR cells OR silicon) IN TI

Use this syntax:(solar IN TI) OR (cells in TI) OR (silicon IN TI)

AVAILABLE FIELDS:

FIELD NAME	CODE	FIELD NAME	CODE
Number	NU	Priority date	PRD
Invention title	TI	IPC Mark	IPC
Inventor name	INV	Filing date	FD
Applicant name	AP	Australian OPI date	OD
Agent name	AG	National Phase Entry date	ND
Application status	ST	Expiry date	ED
Application type	TY	Continuation/Renewal fee paid to date	CD
Publication action	PB	Sealing date	SD
Related application number	RN	Pharmaceutical name	PN
Priority country	PRC	Select	SL
Priority number	PRN	Abstract	AB
Document Kind A	DKA	Claims	CS
Document Kind B	DKB	Description	DS
Document Kind C	DKC	Full Specification	FS

ADDITIONAL ADVANCED SEARCH FIELD CODES:

PUBLICATION ACTION	CODE
All Publication Actions	APB
Amendments	AM
Applications Accepted	AA
Patent Certified	AC
Application Filed	AF
Application Lapsed, Refused, Withdrawn, Patents Ceased or Expired	AT
Applications OPI	AO
Assignments	AS
Corrigenda	CO
Extensions of Term	ER
Extensions of Time, section 223	ET
Letters Patent Sealed	PS
Licences/ Mortgages/ Changes registered	REG
Opposition Proceedings	OP
PCT Applications that have entered the National Phase	NPE
Patent Surrendered/ Revoked	SUR

OPERATORS:

AND	logical AND Eg: this AND that will search for records that contain both "this" and "that" in the specified search fields. This is the default for words or numbers not separated by another operator in Quick Search and Advanced Search. The AND operator must be entered in uppercase.
OR	logical OR Eg: this OR that will search for records that contain either "this" or "that" in the specified search fields. The OR operator must be entered in uppercase.
NOT	logical NOT Eg: this NOT that will search for records that contain "this" but not "that" in the specified search fields. Be careful you don't unintentionally exclude records when you use the NOT operator. The NOT operator must be entered in uppercase.
IN	Match value in search field in Advanced Search Eg: method IN TI – will match applications with the word "method" in the title.
TO	Specify date range in Advanced Search Eg: 2004-10-01 TO 2004-10-15 IN FD – will match records with a filing date from the 1st to the 15th of October 2004.
" "	Exact phrase Eg: searching for "small items" will ensure that you don't collect results with an inventor or applicant called "Small". This is the default for words entered into Structured Search.
*****	Wildcard replacing zero or more characters May appear at the start, middle or end of a word. Using the * wildcard at the start of a word will significantly increase search times and may cause your search to time out.
?	Wildcard replacing exactly one character May appear at the start, middle or end of a word. Eg: searching for "h?t" would match "hat" or "hit" but not "heat". Both forms of wildcard may be used together in any combination desired, e.g."un*gra?ing".
/n/	Proximity search operator for "within n WORDS of" Eg: "black /5/ white" IN CS This will search for the word "black" within 5 words of the word "white" in the specified field, in either order. *n can be from 1 to 9.* *Spaces are required between the search words and the operator in the expression. When used in quick or advanced search, the expression must be enclosed in "quotes". When used in structured search, the quotes are not required.*

CAPITALS, PUNCTUATION AND SPECIAL CHARACTERS:

CHARACTER	BEHAVIOUR	IF YOU'RE NOT GETTING THE RESULTS YOU EXPECT – TRY
UPPERCASE LETTERS	Words in capitals indicate a reserved word. You might get unexpected results if you capitalise reserved words (like AND, OR etc) in your searches.	Enter all your searches in lowercase. Only capitalise Operators and Field labels.
LOWERCASE LETTERS	Words in lowercase are treated as search terms. You will not get the results you expect if you do not capitalise reserved words (like AND, OR etc) in your searches.	Capitalise all Operators and Field labels.
SEPARATOR CHARACTERS ~ : = | \ ( ) { } [ ] < >	These characters are treated as a space. For example: vapour\liquid will be indexed as two words – 'vapour' and 'liquid'	
INSIGNIFICANT CHARACTERS ` ! * " ' ; ? . , _	These characters are ignored. Searching for "word.word" will only match "wordword" will not match "word" Searching for "bbq." will match "B.B.Q." and "BBQ"	
SIGNIFICANT CHARACTERS @ # $ % ^ & - + / letters a to z numerals 0 to 9	These characters are indexed as part of words. Searching for "every@one" will only match "every@one" will not match "every" or "everyone" or "one" or "every-one"	

STOP WORDS (words that you can't search for):

The following words are not indexed by AusPat. In your search, a stop word is treated as a space.

a	be	claim	description	for	in	not	of	said	than	use	was
all	because	claims	drawings	from	into		or	such	that		were
also	being				its		other	specification	the		which
and	but								their		with
are									then		
abstract									there		
									these		
									they		
									this		
									those		
									to		

OPERATORS (words that are reserved in AusPat):

The following words are reserved words (or operators) and used to perform specific tasks in AusPat.
You can only search for these words if you use lowercase.
Note that not all of these operators are documented or in use in AusPat.

AFTER, AND, BEFORE, BUTNOT, EXCEPT, GE, IN, LABEL, LE, NOT, OR, TO, XOR

The following characters and character sequences are operators and used to perform specific tasks in AusPat.
You can only search for these words if you enclose them in "quotes".
Note that not all of these operators are documented or in use in AusPat.

...	()	\\
..	-	//
	?	\n\
	*	/n/ *where n is a number*

OPERATOR PRECEDENCE:

Search expressions are evaluated according to the following precedence.
Note that not all of these operators are documented or in use in AusPat.

1. Phrases
2. // LABEL IN EXCEPT TO BEFORE AFTER
3. \\
4. ... .. AND OR NOT

Operators of the same precedence are processed from left to right.
Structured Search queries are evaluated from top to bottom.
Parentheses may be used to change precedence.

LIMITS AND BOUNDARIES:

AUSPAT HAS A NUMBER OF BUILT-IN LIMITS AND BOUNDARIES TO HELP IMPROVE PERFORMANCE.	
NUMBER OF RECORDS RETURNED IN A SEARCH	The maximum number of records that will be returned for any search is 5000. If your search returns 5000 or more records you will receive a message similar to this one: Your search for method returned 198509 results. Here are the first 5000 results. If you need to review results sets with more than 5000 results consider restricting the number of results by adding a date range to your query.
SORTING LARGE RESULT SETS	Note that if you sort a result set containing more than 5000 records, only the 5000 records in the result set are sorted.
NUMBER OF ENTRIES IN MYLIST	The maximum number of entries you can store in MyList at any one time is 200. Consider saving your MyList file and starting a new one if you need to keep more than 200 entries.
NAME SELECTOR	The name selector requires a minimum of two characters to operate. A maximum of 150 names are returned through the name selector.
STRUCTURED SEARCH	A maximum of 20 criteria can be combined to form a single search in Structured Search.
DATA REFRESH CYCLE	AusPat data is updated each evening. To determine when the data was refreshed check the foot of the Search Results page: « First Last » | Page 1 of 1 | « Prev Next » This data is current as of 2010-09-01 18:00 AEST.
CHARACTERS IN FIELDS	A 600 character limit applies to search expressions in Quick Search. A 600 character limit applies to search expressions in Structured Search. A 2000 character limit applies to search expressions in Advanced Search.
MYSEARCHES	A maximum of 10 searches can be stored at any one time.
SPECIFICATION TEXT SEARCH	Specifications are captured in a text searchable format using Optical Character Recognition (OCR). The limit of the OCR (which converts images into the text searchable format) may cause mis-spellings and affect your text searching results. Please refer to the PDF image for the authoritative version.

WHAT KIND OF BROWSER DO I NEED?

AusPat requires Internet Explorer 6.0 (or other modern browser) and a minimum screen size of 800 x 600.

To take advantage of all the features of AusPat you'll also need to have both JavaScript and Cookies enabled.

WHAT IF I HAVE AN OLDER BROWSER?	If you have an older browser you may not see content on the screen formatted as you would expect.
WHAT IF I HAVE COOKIES DISABLED?	If you don't have cookies enabled we won't be able to remember how you set up AusPat. You will have to turn off Hide/Show help prompts and reset the columns in Search Results and agree to the disclaimer each time you visit.
WHAT IF I HAVE JAVASCRIPT DISABLED?	If you don't have JavaScript enabled you will still be able to use AusPat. However some of our advanced functions like rearrange columns in Search Results, the Name selector in Advanced Search and refining a Structured Search won't work.

IP AUSTRALIA
Phone: 1300 65 1010 (Outside Australia, phone: +61 2 6283-2999)
Fax: 02 6283-7999 (Outside Australia, fax: +61 2 6283-7999)
E-mail: assist@ipaustralia.gov.au **Website:** www.ipaustralia.gov.au
Mail: PO Box 200, Woden ACT 2606, Australia

IP Australia • Patents • Trade Marks • Designs • Plant Breeder's Rights