



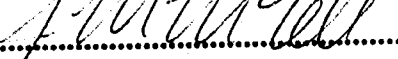
**Australian
Competition &
Consumer
Commission**

**Final Assessment of Certification Trade Mark Application 939875 lodged by the
Australasian Biological Control Incorporated**

The Australian Competition and Consumer Commission (the Commission), in accordance with the requirements of the *Trade Marks Act 1995*, has completed its Final Assessment of the above Certification Trade Mark (CTM) application.

The Commission's Final Assessment is that it is satisfied that:

- (a) the approved certifiers are competent to certify the goods in respect of which the CTM is to be registered;
- (b) the rules governing the use of the CTM would not be to the detriment of the public;
and
- (c) the rules governing the use of the CTM are satisfactory having regard to the principles relating to restrictive trade practices set out in Part IV of the *Trade Practices Act 1974* (the Act); the principles relating to unconscionable conduct set out in Part IVA of the Act; and the principles relating to unfair practices, product safety and product information set out in Part V of the Act.

Signed.....  (Commissioner)

Date..... 24 AUGUST 2004

939875

AUSTRALASIAN BIOLOGICAL CONTROL INCORPORATED

(ABC Inc)

INTEGRATED PEST MANAGEMENT (IPM)

RULES FOR USE

A certification program designed to assist the Primary Producers of Agricultural Horticulture crops increase market opportunity and market competitiveness through their commitment to Integrated Pest Management as a Best Farming Practice.

CERTIFIED TRUE COPY

08/10/02 IPM Rules for Use

Signed for the ACR
25 108 / 04

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ABC/LACE 2 pages

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ABC/LAAR 2 pages

ABC Inc. IPM Certification Assessment and Accreditation Form
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SECTION 1

RULES FOR USE

1 INTRODUCTION

- 1.1 The Integrated Pest Management (IPM) Certification Trademark is owned by the Australasian Biological Control Inc.**
- 1.2 The ABC is the guardian and custodian of the IPM Certification Trade Mark. The elect committee of ABC administers the certification program and either grants licences which includes the right to use a Certification Trade Mark or otherwise approves the use and application of a Certification Trade Mark in accordance with the rules contained in this document.**
- 1.3 The Licensee referred to in these rules means the person(s) holding such a licence.**
- 1.4 The ABC Inc. Certification Trade Mark are governed by these rules. The rules protect the integrity of the ABC Inc. Certification Management Program and enhance the value of the Certification Trade Mark to the Licensee.**
- 1.5 To protect the ABC Inc. Certification Trade Mark applications have been submitted to the Trade Marks Office of Registration for registration and filing.**
- 1.6 The use of the ABC Inc. Certification Trade Mark is controlled, governed and monitored in accordance with the rules described in this document.**

2. EXAMPLE OF CERTIFICATION TRADEMARK

The Integrated Pest Management (IPM) certified trademark is owned by Australasian Biological Control Incorporated (ABC Inc).

3. DEFINITIONS AND ABBREVIATED TERMS

- ABC Inc. - Australasian Biological Control Incorporated
- IPM - Integrated Pest Management
- Licensee - Company (grower) holding the licence
- Licensor - Company granting the licence (ABC Inc)
- Assessor - Approved assessor for undertaking grower assessment for IPM certification
- ctm - Certified Trade Mark
- Days - shall mean working days

**4. TERMS & CONDITIONS FOR GRANTING OF LICENCE TO
USE ABC Inc. TRADE MARK**

4.1 The Licensee may use the Certification Trade Mark under the following conditions:

- i. only after being granted or issued with a licence to use the ABC Inc. Certification Trade Mark**
- ii. only in accordance with the provision described in the licence document issued to the Licensee by the Licensor**
- iii. only in accordance with the rules described in this document.**

5. TERMS & CONDITIONS FOR SUSPENDING OR REVOKING A LICENCE

5.1 ABC Inc. may suspend or revoke a licence if the Licensee:

- i. fails to use the ABC Inc. Certification Trade Mark in accordance with the licence agreement; or
- ii. commits a breach or fails to comply with the rules described in this document and includes the undertaking of farming practices that jeopardises the IPM program; or
- iii. uses the ABC Inc. Certification Trade Mark in a way that in the opinion of ABC Inc. might be misleading to the public; or
- iv. fails to pay the appropriate fees, costs or charges payable under terms of the licence agreement; or
- v. becomes bankrupt, applies to take the benefit of any law for the relief of bankrupt or insolvent debtors or makes any arrangement or composition with its creditors, or if a company enters into liquidation (whether compulsory or voluntary, but not including voluntary liquidation for the purposes of reconstruction) or has an administrator or receiver appointed for its business.

5.2 The licence shall remain suspended until the Licensee can satisfy ABC Inc. that the breach or reason for suspension of the licence under Section 5.1 has been rectified.

5.3 If after twenty (20) days of receipt of notice of suspension the Licensee is unable to satisfy ABC Inc. that the breach under Section 5.1 has been rectified, ABC Inc. may revoke the licence.

5.4 Any decision made under this Section or Sub-sections is binding pending appeal.

5.5 ABC Inc shall issue instruction for the removal of the IPM ctm from the articles where the mark is applied, eg. packaging material, stationery with consideration towards stock on hand where the ctm is applied.

6. RIGHT OF APPEAL

6.1 Right to appeal is available for:

- (i) Applicants seeking to appeal an unsuccessful application to use the IPM Certification Trade Mark under Section 4 (Terms and Conditions for Granting of Licence to use ABC Inc. Certification Trade Mark) and;
- (ii) Licensees seeking to appeal a decision made under Section 5 (Terms and Conditions for Suspending or Revoking a Licence) Subsection 5.3.

6.2 Licensee(s) seeking to appeal a decision made under Section 5 (Terms & Conditions for Suspending or Revoking a Licence) Sub-section 5.3 shall advise ABC Inc. in writing within ten (10) days of receipt of notification pertaining to the decision.

6.3 The person or organisation who will hear and determine an appeal ("the adjudicator") shall be agreed to by the parties within twenty (20) days of ABC Inc. receiving written notification of the Licensees intention to appeal as stated in Sub-section 6.1.

6.4 If no agreement can be made on the appointment of the adjudicator ABC Inc. shall appoint three assessors to act as independent adjudicators.

6.5 The adjudicator shall determine the procedure of an appeal and interested parties shall comply with that procedure.

7. OBLIGATION OF LICENSEE

The Licensee shall:

- i. Comply in all respects to the requirements stated in Section 4 (Terms & Conditions for Granting of Licence(s) to use ABC Inc. Certification Trade Marks) and any subsequent amendment as issued by ABC Inc.
- ii. Provide evidence that their IPM systems comply with the ABC Inc. Certification program or have passed the assessment criteria as conducted by the trained ABC Inc. member.
- iii. Submit to ABC Inc. the intention to use or display the IPM Certified Trade Mark e.g. letter heads, product packaging, prior to application of the mark.
- iv. Discontinue the use of the IPM Certification Trade Mark or withdraw any statement concerning the authority of the Licensee to use the Certification Trade Mark that ABC Inc. considers improper or contrary to the terms of use.
- v. To notify ABC Inc. of significant changes to the Licensee's business or operations which may influence the status of the IPM Certification Trade Mark.
- vi. Provide authorised representatives of ABC Inc. reasonable access to verify compliance to the terms and conditions of use of the IPM Certification Trade Mark e.g. farm operational records, IPM performance data.
- vii. Payment of all fees within twenty (20) days of date of invoice.

- (1) any fees established by ABC Inc. relating to the administration of the licence and the issue of the IPM Certification Trade Mark.
- (2) any fees in relation to services provided in assessment on the use and application of the IPM Certification Trade Mark.
- (3) any royalties set by ABC Inc. for the use of the IPM Certification Trade Mark.

Note:

- i. Fees shall be subject to the GST rate as required by the Australian Tax Office
- ii. The Licensee shall not be entitled to any refund of fees paid or costs incurred in the event of any suspension, revocation, non-renewal, relinquishment or alteration of the licence due to changes in business operational activities.
- iii. Upon termination of the licence for whatever reason the Licensee shall immediately discontinue all use of the IPM Certification Trade Mark however used or applied.
- iv. The Licensee at their expense shall erase or take appropriate actions to remove the IPM Certification Trade Mark within a specified timeframe where ABC Inc. so requires.

8. RELINQUISHMENT OF LICENCE

The Licensee may at any time relinquish a licence by providing notice in writing and return of the licence to ABC Inc.

The licence and schedule shall remain the property of ABC Inc. in the event of suspension, revocation, non-renewal or alteration of the Licensee's business due to changes in farm operational activities or ownership.

9. NOTICES

Any notice given or sent to the applicant or Licensee shall be deemed as duly given, sent and received if sent by registered post to the last known address.

10. MISCELLANEOUS

- 10.1 The identity of applicants and information of a confidential nature shall be treated as confidential by ABC Inc. unless written permission is obtained from the applicant that any such information may be divulged.
- 10.2 The licence is non transferable and applicable only to the terms of the licence agreement.
- 10.3 ABC Inc. shall maintain a confidential list of registered licence holders.
- 10.4 The words and terms are consistent in meaning e.g. singular and plural, gender – male and female.

11. FEES AND CHARGES

The following two tier scale of fees applies for the application and issue of the ABC Inc. IPM Certification Trade Mark.

TIER 1 licenced Assessors

Application Fee / Assessment Cost	\$.....
Annual Registration / Review Cost	\$.....

Tier 2 Licenced growers

Application Fee / Assessment Cost	\$.....
Annual Registration Cost	\$.....

Assessment costs shall be based on an hourly rate of \$.....per hour as determined by ABC Inc and travel at the standard government travel rate applicable at that time or as otherwise mutually agreed between the parties concerned.

12. USE OF THE ABC Inc. IPM CERTIFICATION TRADE MARK

INTRODUCTION

12.1.1 The ABC Inc. IPM Certification Trade Mark shall only be used by an individual or Company who has been certified / approved to the licensing standard by ABC Inc.

12.1.2 The mark may be used / applied under the following conditions:

- i. Packaging – (including inner bags or outer cartons) The dimension of the ABC Inc. IPM Certification Trade Mark are to be maintained or remain proportional. The colour shall be in full colour reproduction in the correct format, self-adhesive labels may be used as an alternative to direct printing and shall be consistent with the size conditions and requirements. It is permitted, (with approval) that the colour may be other shades of the blue and green where these colours are used elsewhere on the packaging or stationery to minimise additional printing costs.
- ii. Stationery – The ABC Inc. IPM Certification Trade Mark may be applied to Company letterheads and other items of stationery including: brochures, business cards, advertisements. The colour shall be in full colour reproduction and in the correct format. It is permitted, (with approval) that the colour may be other shades of blue and green where these colours are used elsewhere on stationery. The dimension of the mark may be altered to suit the application but remain proportional. The smallest application may not be less than half of the standard ctm, eg. 20mm high / 15mm wide.

NOTE!! Black reproduction or other one colour reproduction is only permitted where this is approved by the ABC review committee.

12.1.3 The Licensee shall contact ABC Inc. where doubt exists on the use and application of the ABC Inc. IPM Certification Trade Mark.

ABC Inc. shall conduct random audits on the use and application of the ABC Inc. IPM Certification Trade Mark.

Misuse of the ABC Inc. IPM Certification Trade Mark could result in the issue of a non-conformance notice and an instruction to rectify the nature of the non-conformance.

12.1.4 The Company's Scope of Registration is detailed on the Registration Schedule that is prepared with the Certificate of Registration.

The Scope of Registration shall not be breached by the Licensee in the use of or intended use of the ABC Inc. IPM Certification Trade Mark.

13. REPRODUCING THE ABC Inc. IPM CERTIFICATION TRADE MARK

- 13.1 The Licensee shall take every precaution to ensure the reproductions of the ABC Inc. IPM Certification Trade Mark are exact and to the highest standard of print and presentation.

The following guidelines should be followed to attain full colour production

GREEN

PANTONE PMS-362

Applies to Butterfly and Semi-Circles

BLUE

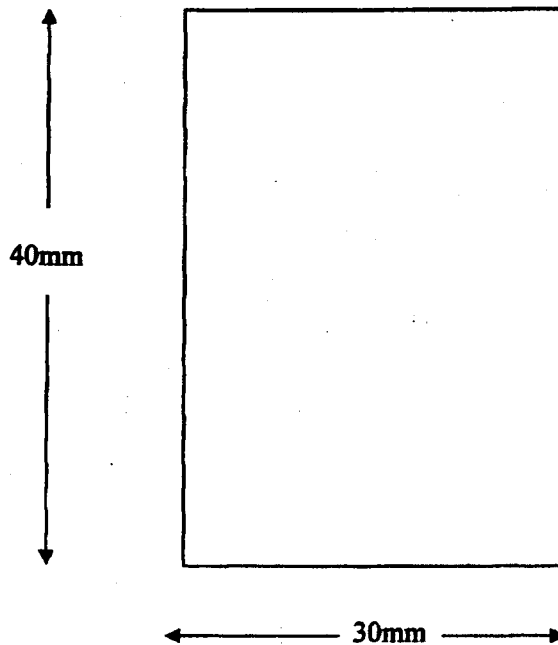
PANTONE PMS-2728

Applies to writing within the semi-circles and other text.

(Every effort must be made to conform to the colour standards but allowances are made for variations with approval. Refer 12.1.2)

**13. REPRODUCING THE ABC Inc. IPM CERTIFICATION TRADE MARK
(continued)**

13.1 Dimensions of the ABC Inc. IPM Certification Trade Mark (Butterfly, semi-circle and text) are:



Any variation of the ABC Inc. IPM Certification Trade Mark shall remain proportional to the above dimensions and remain clear and legible.

13.2. Certification Numbers

ABC Inc. shall maintain a register of IPM Certification Trade Mark(s) issued to Licensee(s) and the scope under which they are issued. A specific issue number will be assigned to each Licensee.

The licence number shall be applied with the ABC Inc. IPM Certification Trade Mark, this may be subject to approval requirements from regulatory authorities e.g. AQIS.

Letters and numbers shall remain proportional to the dimension of the ABC Inc. IPM Certification Trade Mark.

SECTION 2

LICENSING OF ABC Inc. ACCREDITED ASSESSORS

1. INTRODUCTION

Australasian Biological Control Incorporated (ABC Inc) is an association of producers of biological control agents, some whom are also professional people in the field of Integrated Pest Management. The ABC Inc. accredits those agents or professional people upon application, which it believes are currently practicing and promoting true IPM.

Australasian Biological Control Incorporated is a non-profit organisation and serves the interests of members from Australia and New Zealand.

ABC Inc. has the following aims and objectives as an association:

- to disseminate information on biological control and IPM
- to foster co-operation and liaison between producers of beneficial arthropods
- to promote the best use of beneficial arthropods
- to ensure that the quality of its products is maintained
- to develop accurate pesticide susceptibility data
- to foster co-operation with researchers in the relevant field
- to encourage the development of monitoring and consulting services in the field of IPM
- to establish a lobby group to deal with legislation that may affect us.

To protect both consumers and bona fide practitioners ABC Inc. will endorse its own accreditation scheme for IPM service providers.

2. THE ABC Inc. REVIEW COMMITTEE

2.1 Review Committee

The ABC Inc. as a non-profit organisation convenes annual meetings for its members where the appointment(s) of elected members to various positions is convened.

The review committee is an appointment of three members that have the responsibilities and tasks for the reviewing and approving member applications for the position of IPM Assessor.

The Review Committee is

Mr Dan Papacek,	Bugs for Bugs, Mundubbera Queensland;
Dr Paul Horne,	IPM Technologies, Hurstbridge Victoria;
Mr James Altmann,	Biological Services, Loxton South Australia.

Because IPM involves making decisions based upon a wide range of variables it is not a concept that lends itself to standard recommendations or protocols. The review panel will assess each Assessor application on its individual merit with the emphasis placed on the following criteria.

2.2 Assessor Evaluation

The approval criteria is based on:

- has the applicant been able to demonstrate a clear understanding of the role of beneficial organisms in the pest management system that he/she works?
- what cultural controls are being used to enhance the activity of beneficial organisms or to reduce pressure from pests?
- has the applicant endorsed on-farm routine monitoring of pests and beneficial organisms specific to the crop grown?
- has the applicant been able to demonstrate a genuine reduction of standard farm practices or norms in the use of synthetic pesticides? These growers must be available for interview to determine the beneficial outcomes of adopting the Integrated Pest Management program.
- is the frequency of pesticides applied and the types of materials selected appropriate for an IPM program (for the specific crop)?
- what consideration is given to biological control as a component of the overall pest management strategy?
- has the applicant the means of maintaining and enhancing current and advanced knowledge of IPM principals and practices?

3. LICENSED ASSESSORS

ABC Inc. will maintain a register of licensed assessors. Performance reviews will be undertaken to satisfy the review committee that the assessors is maintaining a professional and consistent approach to IPM Certification.

The licensed Assessor shall abide by a Code of Ethics as attached.

4. REPORTING REQUIREMENTS

- 4.1 The Licensed Assessor shall be required to prepare and forward to the ABC Inc. Review Committee, Incident Reports immediately following an identified breach or non-conformance that could have or has compromised the integrity of the IPM Certified Trade Mark.
- 4.2 The Licensed Assessor shall prepare and make lodgment to the ABC Inc. Review Committee on an annual basis, a report on the issue and control of IPM Certified Trade Marks.
LICENSED ASSESSORS ANNUAL REPORT, (ABC/LAAR)

SECTION 3

IPM CERTIFICATION ASSESSMENT AND ACCREDITATION OF PRIMARY PRODUCERS OF AGRICULTURAL HORTICULTURE CROPS

1. INTRODUCTION

Primary producers of Agricultural Horticulture Crops will be in a position to increase market access, opportunity and market competitiveness through the applied use of Integrated Pest Management and through the successful assessment of the IPM Certification program.

The primary producers of Agricultural Horticulture Crops will be able to apply for the IPM Certification through ABC Inc.

Applications for IPM Certification may be received by ABC Inc. or may be received via a member or Licensed Assessor. In each circumstance the application must be forwarded to the Review Committee of ABC Inc. whereupon the application will be reviewed and a Licensed Assessor appointed to carry out the assessment criteria.

To add credence to the issue and use of the IPM Certified Trade Mark and to ensure that the integrity of the mark is not compromised, the following assessment criteria are referred to:

2. ASSESSMENT CRITERIA

The IPM Certification Program for primary producers of Agricultural Horticulture Crops is based upon historical as well as present farming practices being inclusive of Integrated Pest Management practices that are able to demonstrate compliance to the following criteria:

Refer to ABC Inc. IPM Certification Assessment & Accreditation form for primary producers of Agricultural Horticulture Crops. (ABC Assess Form)

LICENSED ASSESSOR CODE OF ETHICS

1 Interpretation

Unless inconsistent with the context or subject matter:

- 1.1 'ABC Inc.' means the Australasian Biological Control Incorporation
- 1.2 "The Review Committee" means the elected representatives of the ABC Inc. to govern and facilitate the IPM Certification Program.

2 General

- 2.1 A licensed Assessor shall recognise and uphold the responsibilities and obligations of the ABC Inc. Review Committee and to the farmers in particular to do all things necessary and refrain from such behaviour that may likely adversely affect the good reputation and public image of the ABC Inc.
- 2.2 The appointment of any licensed Assessor shall be subject to and conditional upon the ABC Inc. review committee being satisfied by any reasonable means and enquiry that the Assessor has not been convicted of an offence of the nature which would be likely to lower the good reputation, standing and integrity of the ABC Inc.
- 2.3 In view of these considerations, this Code of Ethics shall be observed by all Assessors and each Assessor shall only be appointed on condition that they sign the Acknowledgement annexed hereto.

3 The obligation of Licensed Assessors towards the farmers

- 3.1 Assessors shall carry out their duties conscientiously and to the best of their ability. Their duty is to undertake tasks in strict accordance with the standards and guidelines as defined by ABC Inc.
- 3.2 The objective implementation of the assessment program with consideration of improved technology and information shall be the paramount concern of the Assessors.
- 3.3 Assessors shall maintain the confidentiality of information pertaining to all records and/or planning methods and which have been acquired through their implementation of the requirements of the Review Committee and/or ABC Inc.

4 Conduct of Licensed Assessors

- 4.1 Assessors shall behave in all circumstances during any contact with the farmers and their immediate families in a manner that will enhance the reputation, good standing and integrity of the ABC Inc.
- 4.2 Licensed Assessors shall not give any testimonial on behalf of ABC Inc. for publication or otherwise circulated to the lay public, relating to any product, procedure or practice used in the conduct of IPM without express approval of the ABC Inc. board or the Review Committee.
- 4.3 Licensed Assessors shall at all times avoid false certification and making misleading statements in respect of their work or in breach of the ABC Inc. IPM Certification Program.

LICENSED ASSESSOR CODE OF ETHICS (cont'd)

4.4 Licensed Assessors shall not represent in a false or misleading manner the results of their assessments under the IPM Certification Program.

5 Attitude of Licensed Assessors towards other Licensed Assessors

5.1 Licensed Assessors shall behave towards their colleagues in a manner consistent with the best interest and promotion of the ABC Inc. IPM Certification Program.

5.2 Licensed Assessors shall not, in view of their obligations under clause 3.5.1, criticize a colleagues opinion or performance other than direct communication through the Review Committee.

6 Disclosure

6.1 No Licensed Assessor shall, without the written consent of the ABC Inc., disclose any information relating to the activities of the ABC Inc. Review Committee.

7 Beach of By-Law

7.1 All the provisions of this Code shall be strictly observed by Licensed Assessors.

7.2 Agreement to strict compliance with this Code shall be a condition of appointment and continued registration as a Licensed Assessor.

Licensed Assessor Name:

Signature:

Date: _____

Witness Name:

Signature:

Date: _____

ABC Inc. IPM CERTIFICATION ASSESSMENT AND ACCREDITATION
(for Primary Producers of Agricultural Horticulture Crops)

Forward completed form to:
Australasian Biological Control Inc., PO Box 436, RICHMOND NSW AUSTRALIA 2753

Primary Producer Name: _____

Trading As: _____

Manager's Name: _____

Crops Grown: _____

Date: _____

Primary producers' evidence of IPM practices:

Record the name of your IPM Specialist: _____

Trading as: _____

Farmer to complete:

1. Summarise your understanding of the role of beneficial organisms in pest management:

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2. Summarise biological controls being used in the crop:

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3. Summarise your commitment to the monitoring of your crop:

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4. Summarise cultural controls to enhance the activity of beneficial organisms or to reduce pressures from pests:

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5. Summarise the genuine reduction in the use of pesticides:

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6. Summarise selection and frequency of pesticide application so as to not compromise the IPM program

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7. Records

- 1. Are you able to provide evidence of pesticide application (eg: spray diary)? (attach evidence) YES / NO
- 2. Are you able to provide evidence of routine monitoring of pests and beneficial insects? (attach evidence) YES / NO

8. Other comments/observations:

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Primary Producer Signature: _____

Licensed Assessor's Validation:

Primary Producer Name: _____

Trading As: _____

Manager's Name: _____

Crops Grown: _____

Date: _____

1. Does the producer show an understanding of the role of beneficial organisms in the Integrated Pest Management program?

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2. Can you validate the biological controls being used in the crop?

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3. Can you validate cultural controls used to enhance the activity of beneficial organisms or to reduce pressures from pests?

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4. Can you validate the genuine reduction in the use of pesticides?

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5. Can you validate the selection and frequency of pesticide application so as to not compromise the IPM program?

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Review Committee Validation:

Committee Members:	Name:	_____
	Date:	_____
	Name:	_____
	Date:	_____
	Name:	_____
	Date:	_____

Review Committee's Recommendations for IPM Certification: (*please circle*)

- YES - Recommended for Certification**
- NO - Not recommended for Certification**

If YES, record Certificate Number: _____

Justification:

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Copy to: ABC Inc file
Assessor

LICENSED ASSESSORS ANNUAL REPORT

Licensed Assessor Name: _____

Business Name: _____

Licence Number: _____

Date: _____

Assessment Summary:

Number of farmers requesting IPM Certification:

Number of farmers assessed for IPM Certification:

List Names and Crop Details:

NAME	CROP
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(use separate page if required)

Summary of Incidents or Corrective Actions raised relating to the issue and use of IPM (ctm):
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Provide comments for improvement of the IPM assessment program:

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Other comments or observations:

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